

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alberte, et al.

Application No: 09/405,269

Filed: September 23, 1999

For: Safe and Effective Biofilm Inhibitory  
Compounds and Health-Related Uses  
Thereof

Examiner: TO BE ASSIGNED

Art Unit: 1714

Attorney Document No. PHA-004.01

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Change of Address and Change of Docket Number is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Customer Corrections Branch, Assistant Commissioner for Patents, Washington, D.C. 20231, on July , 2001.

  
Robert King

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Please make the following corrections in the formal Filing Receipt, a copy of which is enclosed and highlighted for your convenience, for the above-identified patent application:


Applicants request that the Filing Receipt be corrected to include the missing Priority Information - **THIS APPLN CLAIMS PRIORITY TO 09/159,814, 09/23/98.**

Should there be any questions concerning this request, the Examiner is invited to contact the undersigned.

Respectfully Submitted,

Date: July <sup>23</sup>, 2001

**Customer No: 25181**  
Patent Group  
Foley, Hoag & Eliot llp  
One Post Office Square  
Boston, MA 02109-2170

  
Dana M. Gordon, Ph.D., J.D.  
Reg. No. 44,719  
Tel. (617) 832-1000  
Fax. (617) 832-7000

RECEIVED  
OCT 11 2001  
TC 1700



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/405,269	09/23/1999	1774	1057	PHA-004.01	9	87	3

CONFIRMATION NO. 9298

## CORRECTED FILING RECEIPT



\*OC00000006260913\*

25181  
FOLEY, HOAG & ELIOT, LLP  
PATENT GROUP  
ONE POST OFFICE SQUARE  
BOSTON, MA 02109

Date Mailed: 07/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

RANDALL S. ALBERTE, FALMOUTH, ME;  
RICHARD C. ZIMMERMAN, PACIFIC GROVE, CA;

## Domestic Priority data as claimed by applicant

Please add: THIS APPLN CLAIMS PRIORITY TO 09/159,814, 09/23/98

## Foreign Applications

If Required, Foreign Filing License Granted 10/14/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

## Title

SAFE AND EFFECTIVE BIOFILM INHIBITORY COMPOUNDS AND HEALTH-RELATED USES  
THEREOF

## Preliminary Class

RECEIVED  
AMG 7/9  
JUL 09 2001  
PATENT DEPT.  
DOCKETING